

# Update of the Binoth Les Houches Accord for a standard interface between Monte Carlo tools and One-Loop Programs

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## Abstract

We present an update of the Binoth Les Houches Accord to standardise the interface between Monte Carlo programs and codes providing one-loop matrix elements.

*Key words:* Monte Carlo tools, one-loop computations, Les Houches Accord, automation

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## 1 Introduction

The past years have seen an enormous progress in the development of programs providing next-to-leading order corrections for multi-particle final states. This is due to new developments concerning the calculation of one-loop amplitudes as well as important progress on the Monte Carlo side to account for real radiation at NLO. The modular structure of NLO calculations allows to share the tasks between a “One-Loop Provider (OLP)”, providing the virtual corrections, and a Monte Carlo program (MC) taking care of all the parts which do not involve loops. To facilitate the cross-talk between those two engines, a standard interface has been worked out during the workshop on Physics at TeV Colliders at Les Houches in June 2009, called the “Binoth Les Houches Accord (BLHA)” [1].

Meanwhile, the use of this interface and further developments in OLP and MC codes have brought up the necessity to extend it with further options. The aim of this article is to provide a public document where an update of the BLHA is proposed and conventions are defined to pass parameters,

calculational schemes etc., and to return less inclusive information, such as matrix elements which are not summed over all colours and helicities.

## 2 Existing features of the interface

We do not aim at an exhaustive description of the complete framework of the interface here, referring to [1] for more details. However we sketch the main features any extension will build upon.

A flowchart of the setup between user, Monte Carlo program and One Loop Provider OLP is shown in Fig. 1.

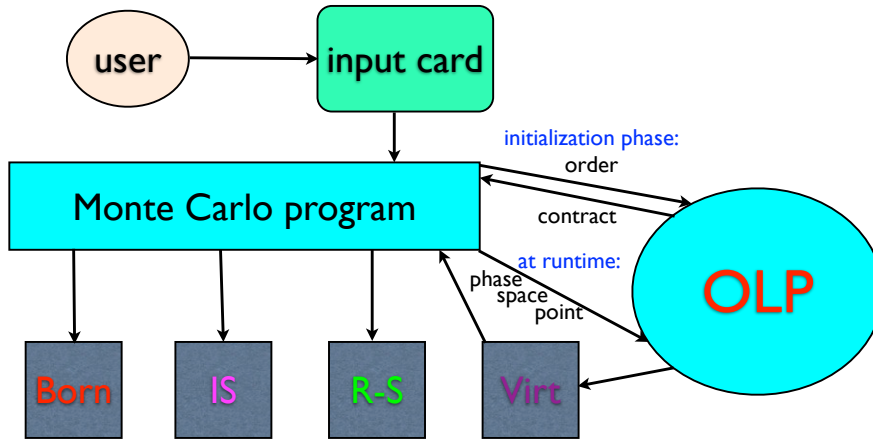


Fig. 1. Interplay between Monte Carlo program and One Loop Provider (OLP). The user prepares an input card to define the process, to be read by the MC. In the initialization phase of the interface, the OLP “signs” an order file placed by the MC. At runtime, the Monte Carlo program provides Born (B), real radiation part minus infrared subtraction terms (R-S) and integrated subtraction terms (IS). The OLP provides the virtual amplitude for each phase space point. The phase space integration is done by the Monte Carlo program.

The interaction between an OLP and a MC proceeds in two phases: the **initialisation** phase and the **run-time** phase. In the initialisation phase, the MC creates a file containing information about the setup and the subprocesses it will need from the OLP to perform the computation. A subprocess

can be either a partonic subprocess or a component thereof (e.g. a specific helicity amplitude or a colour partial amplitude). The particles are identified by specifying their particle data group (PDG) code. This file is called **order file**. An example for an order file for  $Z + 1\text{jet}$  written by SHERPA, called `OLE_order.lh`, is shown in Fig. 2.

```
# OLE_order.lh
# Created by Sherpa-1.4.1

MatrixElementSquareType CHsummed
CorrectionType           QCD
IRregularisation         DRED
AlphasPower              1
AlphaPower               2
OperationMode             CouplingsStrippedOff

Z_mass                   91.118
Z_width                  2.49
W_mass                   80.419
W_width                  2.0476
sin_th_2                 0.221051079833

# process list
1 -1 -> 11 -11 21
21 1 -> 11 -11 1
21 -1 -> 11 -11 -1
2 -2 -> 11 -11 21
21 2 -> 11 -11 2
21 -2 -> 11 -11 -2
```

Fig. 2. Example of an order file for the process  $Z+\text{jet}$ , created by SHERPA.

The OLP then reads the order file and checks availability for each item. Then it returns a **contract file** telling the MC what it can provide. A contract file generated by GOSAM as a response to SHERPA’s contract file, looks like the one in Fig. 3. From this file, one can already see where an upgrade of the interface is clearly needed: As the original standard did not contain a function which allows to pass parameters, the definition of masses and widths is marked as “Ignored by OLP” in the contract file. Certainly the parameters are passed in the actual calculation, but in a non-standardised way, as an individual agreement between the particular MC and OLP. How to define a standard for the passing of parameters is described in Section 3.1.

The first integer label after each subprocess specifies that this subprocess contains only one component (if it was composed e.g. of several helicity configurations to be evaluated separately, this first label would be an integer larger

```

# vim: syntax=olp
#@OLP GOSAM 1.0
#@IgnoreUnknown True
#@IgnoreCase False
IRregularisation DRED | OK
AlphaPower 2 | OK
sin_th_2 0.221051079833 | OK # Ignored by OLP
Z_width 2.49 | OK # Ignored by OLP
Z_mass 91.118 | OK # Ignored by OLP
W_mass 80.419 | OK # Ignored by OLP
CorrectionType QCD | OK
AlphasPower 1 | OK
W_width 2.0476 | OK # Ignored by OLP
OperationMode CouplingsStrippedOff | OK
MatrixElementSquareType CHsummed | OK
1 -1 -> 11 -11 21 | 1 3
21 1 -> 11 -11 1 | 1 4
21 -1 -> 11 -11 -1 | 1 5
2 -2 -> 11 -11 21 | 1 0
21 2 -> 11 -11 2 | 1 1
21 -2 -> 11 -11 -2 | 1 2

```

Fig. 3. Example of a contract file for the process  $Z$ +jet, created by GOSAM. As the original interface did not define a function which allows to pass parameters, the definition of masses and widths is marked as “Ignored by OLP” in the contract file.

than one). The second integer acts as a label for each subprocess, used at runtime to call the individual subprocesses.

After the contract has been *signed*, the communication between MC and OLP proceeds via function calls. At present, there are two functions which allow the transfer of information between the two programs. One is the function `OLP_Start(char*,&int)` which should be called by the MC before starting the runtime phase. The character string in the first argument contains the name of the contract file. The integer in the second argument is set to 1 by the function call if the contract file is accepted. In case of failure, the second argument is different from one, and an error message of the type **Error: can not handle contract file** should be issued.

The second function which is already in place, but will have a different argument list with the new standards, is the function `OLP_EvalSubProcess`. The parameters to be passed to the `OLP_EvalSubProcess` function according to the original version of the interface are (in this order):

- the integer label of the subprocess (as given in the contract file)
- an array containing the components of the momenta. The momenta are placed in a one dimensional array, where physical scattering kinematics is used, i.e.  $k_1 + k_2 = k_3 + \dots + k_m$ . For each particle, the kinematics is specified by a 5-tuple:  $(E_j, k_j^x, k_j^y, k_j^z, M_j)$ . Thus a full  $m$ -particle event is specified by an array of  $5*m$  double precision numbers filled with the  $m$  5-tuples, ordered by the particle labels.
- the renormalisation scale,  $\mu_R$ , as a double precision number, or an array of scales, if different scales need to be passed.
- the strong coupling  $\alpha_s(\mu_R)$ , where  $\alpha_s(\mu_R) = 1$  can be used to indicate that the MC multiplies the returned values with the adequate coupling constants.
- the array where the computed results are returned.

The returned array is expected to contain at least four real-valued double precision numbers

PoleCoeff2, PoleCoeff1, PoleCoeff0, BornSquare

which correspond to the colour- and helicity-summed (resp. averaged for the initial state) terms  $A_2, A_1, A_0, |\text{Born}|^2$ .

### 3 New features of the interface

Concerning the *initialisation phase*: We define a number of new keywords to allow for more options in the order/contract files. The valid keywords are listed in Appendix A.

There should be a check at the level of the order/contract files if all settings are fully supported. As the new standards are not backwards compatible, we propose to place the keyword `InterfaceVersion`, which can take the values `BLHA1` or `BLHA2`, in the order file.

Further, we define a new function

`OLP_Info(char[15] olp_name, char[15] olp_version, char[255] message)` to be called by the MC after `OLP_Start`. The function serves to keep track of the type and version of the OLP which has been used, and to encourage proper citation. The arguments are the name of the OLP, the version, and a string which contains information about the relevant publications, for example the bibtex identifier.

At *runtime*, two new functions, `OLP_SetParameter` and `OLP_PrintParameter`, described in more detail below, are defined.

The function `OLP_EvalSubProcess` now has pointers in the argument list, and also contains new arguments which are useful for the treatment of unstable phase space points:

```
OLP_EvalSubProcess(const int* i, const double* pp, double* rval, int* status)
```

The arguments are

- `const int* i`: one element array with the label of the subprocess
- `const double* pp`: array of momenta, conventions  $(E_j, k_j^x, k_j^y, k_j^z, M_j)$  unchanged
- `double* rval`: array of return values
- `int* status`: one element array denoting the status of the accuracy check.

Note: originally, the argument list of `OLP_EvalSubProcess` also contained  $\mu_r, \alpha_s(\mu_r)$ : `OLP_EvalSubProcess(int j, double* pp, double mur, double* alphas, double* rval)`.

However, with the new function `OLP_SetParameter`, these arguments have become obsolete in `OLP_EvalSubProcess`.

### 3.1 *Passing parameters*

In the first version of the interface, the standard only allowed to pass a fixed amount of information at the level of the order/contract files. However, to be able to pass also dynamical parameters like running masses, and to have more flexibility in the definition of individual parameters, we suggest the following extension.

Parameters can be passed by the function

```
OLP_SetParameter(const char*, const &double, const &double, &int)
```

where the first argument is a string serving as a keyword for the parameter to be set, followed by two double precision numbers so that complex parameters can also be passed (in case of real parameters, the second double is zero). The integer in the fourth argument is set by the OLP to tell the MC whether the setting of the parameter was successful.

`int=1` means the parameter has been set successfully,  
`int=0` means failure: issue an error message and stop,  
`int=2` means that the parameter is unknown or the setting is ignored, but the program should proceed.

The function `OLP_SetParameter` can be called at runtime, for every phase space point, if used to define a dynamic parameter. Obviously it can also be called once (for each particular keyword/parameter that needs to be passed) if this is a static parameter needed only at the start of the run-time phase.

An illustrative list of parameter names is given in Table 1.

keyword	parameter
<code>mass(5)</code>	b quark mass
<code>mass(6)</code>	top quark mass
<code>width(6)</code>	top quark width
<code>sw2</code>	$\sin^2 \theta_w$
<code>vev</code>	SM vacuum expectation value
<code>Gf</code>	$G_{\text{Fermi}}$
<code>VV12</code>	$V_{ud}$
<code>:</code>	

Table 1

List of keywords to define parameters to be passed by the function `OLP_SetParameter`.

Apart from setting individual parameters separately, there should also be the possibility to define some global settings in the order file. For example, `Model: SMdiag` should set the CKM matrix to unity globally.

Further, we propose a routine `OLP_PrintParameter(const char* filename)` giving out a list of the actual (static) parameter settings used in the calculation, where `filename` is the name of the output file.

### 3.2 *Treatment of unstable phase space points*

At the level of the order file, a new keyword `TreatUnstable` can be used to define globally how to deal with unstable phase space points. Possible values can be

<code>TreatUnstable</code> discard, sloppy, debug
---

When the run-time phase is started, the function `OLP_SetParameter(...)` can be used to have a flexible way to decide if a point is classified as unstable. This can be done by a parameter specifying the precision threshold which divides the points into “stable” or “unstable”, called `Precision`.

Precision	1e-4
-----------	------

The precision threshold, i.e. the dividing line between the classification as “stable” or “unstable”, should be a relative accuracy determined as a result of internal stability checks. The OLP should be free to use its preferred stability criteria internally.

The function `OLP_EvalSubProcess` can be used to pass information about the quality of the phase space point. The last argument of this function is an array of four real-valued double precision numbers, denoting `PoleCoeff2`, `PoleCoeff1`, `PoleCoeff0`, `BornSquare`.

We propose to append, after this array, a single integer `int` encoding the outcome of the stability test. The Monte Carlo program will then proceed according to the flag set in `TreatUnstable`. `int=1` means the point has passed the stability test(s), `int=0` means that the phase space point did not pass. If `int=0` and the `TreatUnstable` flag was `discard`, the point is thrown away, while in the case of `sloppy`, the value for the virtual part delivered by the OLP could be set to zero, and the histogram would be filled with a point which is approximately Born level. In the case of `debug`, the phase space point should be printed to a file to allow further diagnostics (but not filled into the histogram). The format of such a debug file should be defined internally within the OLP.

It could further be useful to pass more detailed information, e.g. how many digits the OLP claims to be correct in the finite part of the virtual corrections, as the relative accuracy required by `Precision` might not always have been reached.

It was agreed to define a flag `Extra` for OLP specific parameters (not only for the case of stability checks). An example from NJET [8] looks like

Extra NJetSwitchAcc [default = 1e-5]
--------------------------------------

Sets the relative accuracy at which higher precision floating point arithmetics will be used to evaluate the phase space point if double precision has not produced the desired accuracy.

### 3.3 *Different powers of coupling constants, merging different jet multiplicities*

So far, the interface was tailored to the combination of NLO calculations for a fixed jet multiplicity with a parton shower, and focused on QCD corrections rather than electroweak corrections.



However, mixed QCD-EW corrections, or expansions in parameters other than  $\alpha_s$  or  $\alpha$ , require a more flexible scheme to define the desired orders in coupling constants.

Further, recent developments [?, 3, 4] propose a merging method for matched NLO predictions with varying jet multiplicity. In order to calculate merged samples, the Monte Carlo program needs to ask the OLP for one-loop matrix elements with different jet multiplicities and therefore different powers of the coupling constant.

These situations can be accounted for by allowing different settings for different subprocesses. The global settings which by default hold for all subprocesses are overwritten for particular subprocesses if different settings for those subprocesses are explicitly specified.

Example:

```

AlphasPower 2
# process list 2j
1 1 -> 1 1
1 -1 -> 1 -1
1 -1 -> 2 -2
1 -1 -> 21 21
21 21 -> 21 21

AlphasPower 3
Extra AmplitudeType LeadingColour
# process list 3j
1 1 -> 21 1 1
1 -1 -> 21 1 -1
1 -1 -> 21 2 -2
1 -1 -> 21 21 21
21 21 -> 21 21 21

AlphasPower 4
Extra AmplitudeType Tree
# process list 4j
21 21 -> 21 21 21 21
1 -1 -> 21 21 21 21
1 -1 -> -2 2 -2 2
1 -1 -> -1 1 -1 1
21 21 -> 21 21 21 21

```

Fig. 4. Example of the part of an order file containing different settings for different sets of subprocesses.

This setup can be used for merged samples as well as mixed QCD/EW corrections. It can also be used to pass additional information referring only to particular subprocesses, as indicated e.g. by `Extra AmplitudeType LeadingColour`.

### 3.4 Electroweak corrections

In the case of electroweak (EW) corrections, it is of particular importance to check the consistency of the parameters, for example the relation between  $M_Z, M_W$  and  $\sin^2 \theta_w$ . This can be done in the following way: The scheme is set in the order file by the keyword `EWScheme`, which can take the values `alphaGF` (also known as  $G_\mu$ -scheme), `alpha0`, `alphaMZ`, `alphaRUN`, `alphaMSbar`, `UserDefined`. Then the parameters are set using `OLP_SetParameter(const char*, const &double, const &double, &int)`.

The OLP imports these parameters. The integer in the last argument only indicates if the import was successful or not. Consistency, according to the `EWScheme` defined in the order file, can only be checked after all parameters have been imported. Therefore, a second function was suggested to return information about the consistency of the parameters. This could be done for each parameter individually, by the function

`OLP_GetParameter(char*, &double, &double, &bool)`

where `char` denotes the same keyword as in `OLP_SetParameter`. After the OLP has checked the dependencies, this function returns `false` in its last argument if the parameters are found not to be consistent within a given `EWScheme`. If the EW scheme is `UserDefined`, the fourth argument should by definition always return `true`. If the last argument has been set to `false` by the OLP, the program should stop.

*The discussions in Les Houches lead to the following:*

*The EW scheme `UserDefined`, which leaves it to the OLP to ensure consistency, is the most likely one to be actually used by the OLPs. This makes the function `OLP_GetParameter` obsolete.*

*Therefore the current agreement is to not introduce a function `OLP_GetParameter`.*

### 3.5 Loop induced processes

There should be a keyword in the order file defining the process as `LoopInduced`, taking the values `true` or `false`. The default is `false`. The Monte Carlo program should then adjust the phase space integration to take into account the fact that a tree level matrix element does not exist.

### 3.6 *Extras*

The keyword **Extra** can be used to write special requirements relevant to the OLP into the order file. Apart from writing them into the order file, the MC will ignore them (unless they are known and relevant to the MC).

For example, requirements concerning a colour expansion could be put under the **Extra** flag. The default is that amplitudes are summed over final state colours and polarisations and averaged over initial state colours and polarisations. It should be possible to change this by

```
Extra HelAvgInitial no
Extra ColAvgInitial no
Extra MCSymmetrizeFinal no
```

where **MCSymmetrizeFinal** refers to the symmetry factor introduced for identical particles in the final state.

### 3.7 *Polarisation and colour information*

Going beyond the generic case of colour and helicity summed matrix elements it seems almost impossible to satisfy special needs of different programs with one global standard. The options below can be considered as suggestions how to set up an interface, but the details probably remain to be implemented individually between specific programs. If supported by the MC, special setups can be communicated by the **Extra** flag.

#### **Helicity**

The main problem here is the question how to pass information about the helicity basis used by the MC/OLP. For fermions (both massless and massive), it is sufficient to specify the two light-like vectors which define the light-cone decomposition. For massless vector bosons, the situation is rather easy, specification of the reference vector is enough. For massive vector bosons, the situation is more tricky. The least ambiguous way seems to be to specify polarisation vectors.

The consensus reached in the discussion allows for two options:

**PolvecsAll**: specify polarisation vectors for all particles

**PolvecsMVB**: specify polarisation vectors for (polarized) massive vector bosons only, for the remaining particles specify reference vectors for helicity projection.

#### **Colour**

It would be very useful to be able to return partial amplitudes in a colour

decomposition, or coefficients of a colour correlation matrix. In this case the colour basis has to be defined. The colour flow decomposition [?, 6] seems to be the most convenient.

In principle, a keyword to pass non-standard colour information was already in place in the original proposal: `OperationMode` was defined to allow `CHsummed`, `Csummed`, `Hsummed`, `NOTsummed`, `LeadingColour`. However, how to define and return the individual coefficients still remains to be worked out, and `Csummed`, `Hsummed`, `NOTsummed` have been retired in favour of putting such flags into `Extra`. Further, it is the responsibility of the user to make sure that the approximations behind the keyword `LeadingColour` are the same in the OLP and the MC program. Therefore, it was also suggested that `LeadingColour` should be replaced by `ColourExpansion`, supplemented by the power  $i$  in a  $1/N^i$  expansion.

Obviously, if one of the contractors cannot provide the detailed colour or helicity information requested, the calculation should exit at the stage of `OLP_Start`.

### *3.8 Restrictions such as diagram filters, exploitation of special symmetries, etc.*

The keyword `ActiveParticles` in the order file can be used to remove unwanted particles from the code generation. The possibility to exclude particles by a keyword `ExcludeParticles` is also defined, as the list of active particles is usually larger. Restrictions like confining the set of diagrams to resonant diagrams only can be set in the MC input card. The one-loop provider should make sure that all settings are coherent with possible filters in the OLP program. It was found that anything more than that is too error prone. However, OLP specific restrictions can be imposed using the keyword `Extra`.

## 4 Conclusions

### Acknowledgements

We would like to thank the CERN TH/LPCC Institute on SM at the LHC for hospitality and for providing a stimulating environment to discuss these matters. We would also like to thank the Les Houches 2013 organizers for providing a platform (e.g. Wikipages) and again a stimulating environment to work out this Accord.

## A List of valid keywords for order/contract file

**InterfaceVersion:** Can take the values BLHA1 or BLHA2. This clarifies already in the initialisation phase if the new standards are supported by both MC nad OLP.

**Model:** SM, SMdiag, MSSM.

For BSM standards, the UFO [2] format has been proposed.

**MassiveParticles:** defines a list of massive particles at the level of the order file, for example `MassiveParticles 5, 6`. This also implies that the light quark masses are set to zero.

**ActiveParticles:** can be used to restrict the particles entering the calculation to the specified set. The particles should be listed behind the keyword, denoted by their PDG codes.

**ExcludedParticles:** can be used to exclude particles which are contained by default in `Model`. The particles should be listed behind the keyword, denoted by their PDG codes.

**AlphasPower:** integer which specifies the  $\alpha_s$  power of the Born cross section. (Can also be used for sub-processes, power refers to cross section rather than amplitude level).

**AlphaPower:** integer which specifies the  $\alpha$  power of the Born cross section. The default is zero.

**CorrectionType:** the type of higher order correction should be specified. Standard keywords are QCD, EW or QED.

*used only in the mode QCD so far.*

**IRregularisation:** the IR regularisation scheme used. Possible choices for QCD are CDR, DRED.

*used in the mode DRED [7] and CDR [8] so far.*

**TreatUnstable:** defines how to treat unstable phase space points. Possible values are `discard`, `sloppy`, `debug`.

**Precision:** precision threshold which divides points into “stable” or “unstable”. Should be a relative accuracy, resulting from OLP internal stability checks.

**LoopInduced:** indicates that the process has no tree level. The default is `False`.

**IRsubtractionMethod:** specifies the IR subtraction method, e.g.

`DipoleSubtraction`,

`FKSSubtraction`, `AntennaSubtraction`.

*used only in the (SHERPA default) mode `DipoleSubtraction` so far.*

**Extra:** This keyword can be used to write special requirements relevant to the OLP into the order file. Apart from writing them into the order file, the

MC will ignore them (unless they are known and relevant to the MC).

**EWScheme:** used schemes (discussed in the text) can be flagged by the keywords `alpha0`, `alphaMZ`, `alphaGF`, `alphaRUN`, `alphaMSbar`, `UserDefined` (default).  
**MassiveParticleScheme:** a standard choice is `OnShell`.

**WidthScheme:** defines the treatment of unstable particles. Standard values are `ComplexMass`, `FixedWidth`, `RunningWidth`, `PoleApprox`.

**PolvecsAll:** specify polarisation vectors for all particles.

**PolvecsMVB:** specify polarisation vectors for (polarized) massive vector bosons only, while for fermions specify reference vectors for light-cone decomposition.

## B List of keywords contained in the original proposal which have been retired

**MatrixElementSquareType:** the type of the returned amplitude information. This flag was intended to distinguish colour ( $C$ ) and helicity ( $H$ ) treatment. Possible values were defined as `CHsummed`, `Csummed`, `Hsummed`, `NOTsummed`. *This keyword has been retired. The default is `CHsummed`.* The flag `Extra` could be used to accommodate for the keywords `HelAvgInitial`, `ColAvgInitial`, `MCSymmetrizeFinal`.

**ModelFile:** the model file from which parameters have to be read. Originally proposed to use files in the Susy Les Houches Accord (SLHA) [9,10] format, `*.slh` for passing model parameters.

Keyword replaced by `Model1`. For BSM, SLHA format replaced by `UFO` [2] format.

**OperationMode:** the operating mode of the OLP. This optional flag was intended to specify OLP defined approximations to the one-loop contribution, e.g. `LeadingColour`, `HighEnergyLimit`, etc.

*used only in the mode `CouplingsStrippedOff` so far.*

The keyword `CouplingsStrippedOff` turned out to be ambiguous in the presence of EW couplings and therefore will not be used any longer.

The keyword `OperationMode` has become obsolete altogether, as all extra requirements are now put with dedicated keywords into the global flag `Extra`, which defines settings which matter to the OLP, while being ignored by the MC.

**SubdivideSubprocess:** this flag tells the user if a given process is represented in a split form to allow for multi-channel Monte Carlo sampling.

*Unused so far, will be retired.*

**ResonanceTreatment:** has been replaced by **WidthScheme**, as, for example, the complex mass scheme also concerns non-resonant propagators.  
**Csummed** , **Hsummed**, **NOTsummed** also have been retired. The default now is **CHsummed**, which also implies an average over initial state colours and polarizations.

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