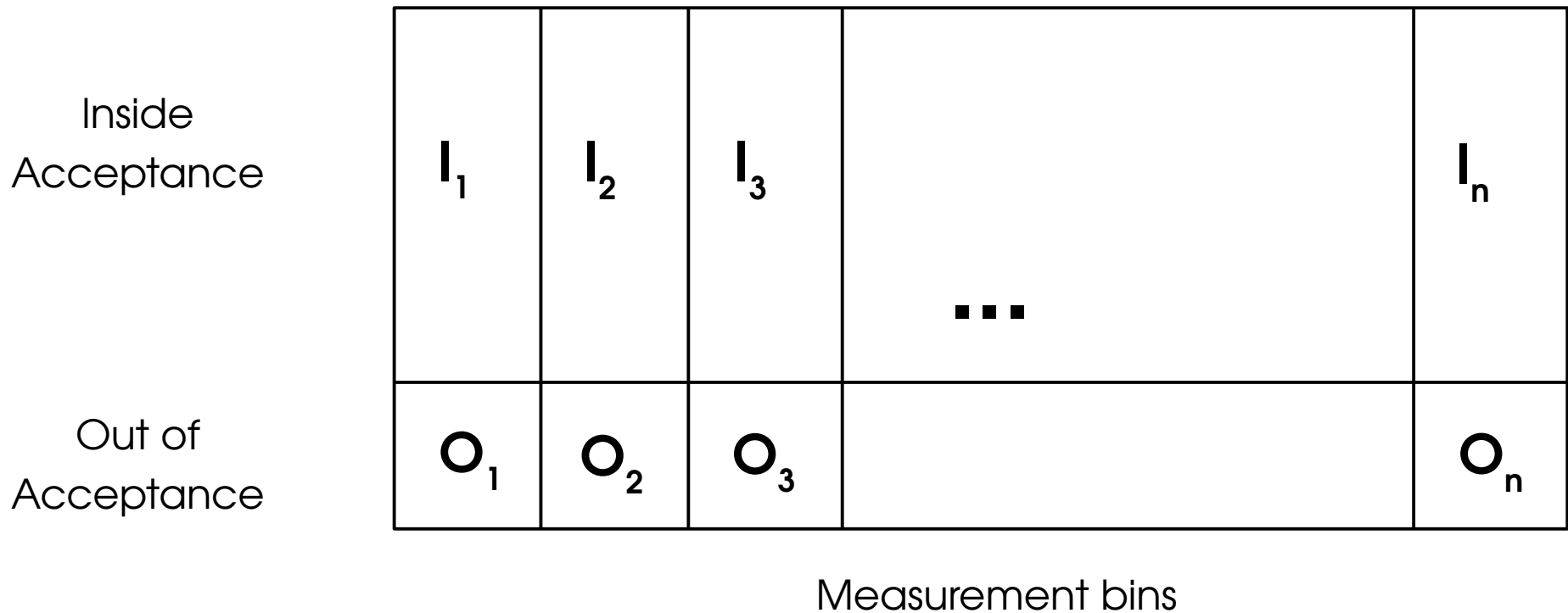


Out-of-Acceptance Treatment: Concept



There are $2n$ POIs (I_i and O_i for each measurement bin), but only n observables (the bin yield N_i). So we need some assumption about the O_i in order to measure the I_i :

- **Option 1:** All the O_i are fully correlated to each other \Rightarrow all scale with a global O parameter - not constrained by data, so fix to the SM.
- **Option 2:** Each O_i is fully correlated to the corresponding I_i .
- **Option 3:** All the O_i are fully correlated to each other, and to $\sum_i I_i$.

Out-of-Acceptance: Math

Measured bin yields are $N_i = \sum_j m_{ij} I_j + \sum_j f_{ij} O_j$ where

- $I_j = L \cdot \sigma_j^{\text{fid}}$: Fiducial contribution
- $O_j = L \cdot \sigma_j^{\text{out-of-fid}} = L(\sigma_j^{\text{tot}} - \sigma_j^{\text{fid}})$: Out-of-fiducial contribution
- m_{ij} : migration coefficients for the fiducial contributions into reco bins
- f_{ij} : contamination coefficients for non-fiducial contributions

- **Option 1** : We assume $O_i = \alpha_i O^{\text{SM}}$, with $\alpha_i = O_i^{\text{SM}}/O^{\text{SM}}$ taken from SM MC

$$\Rightarrow N_i = \sum_j m_{ij} I_j + \sum_j f_{ij} \alpha_j O^{\text{SM}}$$

- **Option 2** : We assume $O_i = \beta_i I_i$, with $\beta_i = O_i^{\text{SM}}/I_i^{\text{SM}}$ taken from SM MC

$$\Rightarrow N_i = \sum_j (m_{ij} + \beta_i f_{ij}) I_j$$

- **Option 3** : We assume $O_i = \gamma_i \sum_j I_j$, with $\gamma_i = O_i^{\text{SM}}/\sum_j I_j^{\text{SM}}$ taken from SM MC

$$\Rightarrow N_i = \sum_j m_{ij} I_j + \sum_j f_{ij} \gamma_j \sum_k I_k = \sum_j (m_{ij} + \sum_k f_{ik} \gamma_k) I_j$$

What can go wrong

- Consider a 1-bin analysis with a spectacularly bad fiducial selection, $m=0.1$ and $f=0.9$
 $\Rightarrow N = 0.1 I + 0.9 O$; assume $I_{SM} \sim O_{SM}$ and consider
 - **Case A:** $I_{true} = I_{SM}$ and $O_{true} = O_{SM}$
 - **Case B:** $I_{true} = I_{SM}$ and $O_{true} = 10 O_{SM}$
 - **Case C:** $I_{true} = 10 I_{SM}$ and $O_{true} = 10 O_{SM}$
- **Option 1:** $N = 0.1 I + 0.9 O_{SM}$
 - **Case A**
 - $I = (N - 0.9 O_{SM})/0.1 = (0.1 I_{SM} + 0.9 O_{SM} - 0.9 O_{SM})/0.1 = I_{SM} = I_{true}$ **accurate**
 - $\delta I = \text{sqrt}(N)/0.1$: **error larger due to low purity**
 - **Case B:** $I = I_{SM} + 81 O_{SM} \sim 82 I_{true}$, **biased**
 - **Case C:** $I = 10 I_{SM} + 81 O_{SM} \sim 91 I_{true}$ **biased**
- **Option 2:** $N = (0.1 + 0.9 O_{SM}/I_{SM}) I$ (Option 3 is equivalent in this 1-bin case)
 - **Case A:**
 - $I = N/(0.1 + 0.9 O_{SM}/I_{SM}) = I_{SM} = I_{true}$ **accurate**
 - $\delta I = \text{sqrt}(N)/(0.1 + 0.9 O_{SM}/I_{SM})$, **error ~ insensitive to purity**
 - **Case B:** $I = (0.1 I_{SM} + 9 O_{SM})/(0.1 + 0.9 O_{SM}/I_{SM}) \sim 10 I_{true}$ **biased**
 - **Case C:** $I = (1 I_{SM} + 9 O_{SM})/(0.1 + 0.9 O_{SM}/I_{SM}) = I_{true}$ **accurate**

